U.S. Department of Labor
Office of Labor-Management Standards
Washington, DC 20210

FORM LM-30
LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

Form approved
Office of Management and Budget
No. 1215-0188
Expires 11-30-2006

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.

For Official Use Only

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READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U - 8497

2. Fiscal Year Covered From: 01 / 01 / 2004 Through: 12 / 31 / 2004

3. Name and address of person filing.

Name: ROY A. RINGWOOD

P.O. Box, Bldg., Room No., if any: SUITE 105

Street: 2120 AUTO CENTRE DRIVE

City: GLENDORA

State: CA ZIP Code + 4: 91740

4. Name, file number, and address of labor organization.

Name: SHEET METAL WORKERS LOCAL UNION 105

Labor Organization File Number: 542-616

P.O. Box, Building and Room Number, if any: SUITE 105

Street: 2120 AUTO CENTRE DRIVE

City: GLENDORA

State: CA ZIP Code + 4: 91740

5. Position in labor organization. BUSINESS MANAGER, PRESIDENT

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value **from an employer whose employees your organization represents** or is actively seeking to represent.

6. Name and address of Employer (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

7.a. Nature of Interest, Transaction, or Income.

7.b. Amount.

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)

Signed: Roy A. Ringwood On: 8-9-05 (Date) 909/305-2800 (Telephone Number)

Name of Person Filing	ROY A. RINGWOOD	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: SHEET METAL WORKERS TRUST FUNDS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 100

Street: 111 NO. SEPULVEDA BLVD.

City: MANHATTAN BEACH

State: CA ZIP Code + 4: 90266-6861

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT
FIRST QUARTERLY BOARD MEETING

12.b. Amount. $73.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SHEET METAL WORKERS TRUST FUNDS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any SUITE 100

Street 111 NO. SEPULVEDA BLVD.

City MANHATTAN BEACH

State CA ZIP Code + 4 90266-6861

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT
SECOND QUARTERLY BOARD MEETING

12.b. Amount. $55.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing: ROY A. RINGWOOD

File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: SHEET METAL WORKERS TRUST FUNDS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 100

Street: 111 NO. SEPULVEDA BLVD.

City: MANHATTAN BEACH

State: CA ZIP Code + 4: 90266-6861

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT THIRD QUARTERLY BOARD MEETING

12.b. Amount. $1,522.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing	ROY A. RINGWOOD	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: SHEET METAL WORKERS TRUST FUNDS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 100

Street: 111 NO. SEPULVEDA BLVD.

City: MANHATTAN BEACH

State: CA ZIP Code + 4: 90266-6861

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT
FOURTH QUARTERLY BOARD MEETING

12.b. Amount. $106.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing	ROY A. RINGWOOD	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: SHEET METAL WORKERS TRUST FUNDS

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 100

Street: 111 NO. SEPULVEDA BLVD.

City: MANHATTAN BEACH

State: CA ZIP Code + 4: 90266-6861

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT
INTERNATIONAL FOUNDATION TRUSTEE CLASSES

12.b. Amount. $3,119.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SO. CA. SHEET METAL JATC

Trade Name, if any: JATC

P.O. Box, Bldg., Room No., if any

Street 633 N. BALDWIN PARK BLVD.

City CITY OF INDUSTRY

State CA ZIP Code + 4 91746

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRAINING TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT

12.b. Amount. $55.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing	Roy A. Ringwood	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

9. Business deals with:

- [ ] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

12.b. Amount.

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name UNION LABOR LIFE INSURANCE COMPANY

Trade Name, if any: J FOR JOBS

P.O. Box, Bldg., Room No., if any SUITE 620

Street 429 SANTA MONICA BLVD.

City SANTA MONICA

State CA ZIP Code + 4 90401

14.a. Nature of payment.

EXPENSE INCIDENTAL TO MEETING WITH INVESTMENT MANAGER

13.b. Is the Business an Employer [X] or Consultant [ ] ?

14.b. Amount of payment. $41.00

Name of Person Filing: ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: NATIONAL ENERGY MANAGEMENT INSTITUTE, INC.

Trade Name, if any: NEMI

P.O. Box, Bldg., Room No., if any: SUITE 250

Street: 601 N. FAIRFAX STREET

City: ALEXANDRIA

State: VA ZIP Code + 4: 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

ASSOCIATED NON-PROFIT CORPORATION

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT NOVEMBER

12.b. Amount. $191.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing: ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: NATIONAL ENERGY MANAGEMENT INSTITUTE, INC.

Trade Name, if any: NEMI

P.O. Box, Bldg., Room No., if any: SUITE 250

Street: 601 N. FAIRFAX STREET

City: ALEXANDRIA

State: VA ZIP Code + 4: 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

ASSOCIATED NON-PROFIT CORPORATION

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT
TABB MARKETING

12.b. Amount. $ 158.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE, INC

Trade Name, if any: NEMI

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

ASSOCIATED NON-PROFIT CORPORATION

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT FEBRUARY

12.b. Amount. $48.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing	ROY A. RINGWOOD	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: NATIONAL ENERGY MANAGEMENT INSTITUTE, INC

Trade Name, if any: NEMI

P.O. Box, Bldg., Room No., if any: SUITE 250

Street: 601 N. FAIRFAX STREET

City: ALEXANDRIA

State: VA ZIP Code + 4: 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

ASSOCIATED NON-PROFIT CORPORATION

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT FROM NEMI

12.b. Amount: $126.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.a. Nature of payment.

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE COMMITTEE

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT MEMBER

12.b. Amount. $191.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE COMMITTEE

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT TRUST FUND

12.b. Amount. $211.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing	Roy A. Ringwood	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: So. CA. Sheet Metal JATC

Trade Name, if any: JATC

P.O. Box, Bldg., Room No., if any:

Street: 633 N. Baldwin Park Blvd.

City: City of Industry

State: CA ZIP Code + 4: 91746

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

Training Trust Fund Trustee

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

Trustee Meeting Expense Reimbursement Local 104 JATC Open House

12.b. Amount. $71.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD	File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE COMMITTEE

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT INTERNATIONAL CERTIFICATION BOARD

12.b. Amount. $1,064.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD	File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE COMMITTEE

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT ONLY

12.b. Amount. $48.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE COMMITTEE

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT TASK FORCE

12.b. Amount. $225.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name NATIONAL ENERGY MANAGEMENT INSTITUTE COMMITTEE

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any SUITE 250

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT PROGRAM

12.b. Amount. $126.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD	File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: SHEET METAL OCCUPATIONAL HEALTH INSTITUTE

Trade Name, if any: SMOHIT

P.O. Box, Bldg., Room No., if any: SUITE 240

Street: 601 N. FAIRFAX STREET

City: ALEXANDRIA

State: VA ZIP Code + 4: 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT NONMEMBER

12.b. Amount. $382.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: SHEET METAL OCCUPATIONAL HEALTH INSTITUTE

Trade Name, if any: SMOHIT

P.O. Box, Bldg., Room No., if any: SUITE 240

Street: 601 N. FAIRFAX STREET

City: ALEXANDRIA

State: VA ZIP Code + 4: 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT JULY

12.b. Amount. $96.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name SHEET METAL OCCUPATIONAL HEALTH INSTITUTE

Trade Name, if any: SMOHIT

P.O. Box, Bldg., Room No., if any SUITE 240

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [x] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRUST FUND MEETING

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING EXPENSE REIMBURSEMENT FEBRUARY

12.b. Amount. $252.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INTERNATIONAL TRAINING INSTITUTE (ITI)

Trade Name, if any: ITI

P.O. Box, Bldg., Room No., if any SUITE 240

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRAINING TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT NOVEMBER

12.b. Amount. $1,249.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INTERNATIONAL TRAINING INSTITUTE (ITI)

Trade Name, if any: ITI

P.O. Box, Bldg., Room No., if any SUITE 240

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRAINING TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT JULY

12.b. Amount. $2,255.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INTERNATIONAL TRAINING INSTITUTE (ITI)

Trade Name, if any: ITI

P.O. Box, Bldg., Room No., if any SUITE 240

Street 601 N. FAIREAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRAINING TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT INDUSTRY WEEK

12.b. Amount. $748.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name INTERNATIONAL TRAINING INSTITUTE (ITI)

Trade Name, if any: ITI

P.O. Box, Bldg., Room No., if any SUITE 240

Street 601 N. FAIRFAX STREET

City ALEXANDRIA

State VA ZIP Code + 4 22314

9. Business deals with:

- [X] a. Labor Organization
- [ ] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

11.a. Nature of such dealing.

TRAINING TRUST FUND TRUSTEE

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

TRUSTEE MEETING EXPENSE REIMBURSEMENT FEBRUARY

12.b. Amount. $1,395.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing	ROY A. RINGWOOD	File Number U-	542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: VICTORY CAPITAL MANAGEMENT

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 600

Street: 19200 VON KARMAN AVENUE

City: IRVINE

State: CA ZIP Code + 4: 92612

9. Business deals with:

- [ ] a. Labor Organization
- [x] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name: VICTORY CAPITAL MANAGEMENT

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 600

Street: 19200 VON KARMAN AVENUE

City: IRVINE

State: CA ZIP Code + 4: 92612

11.a. Nature of such dealing.

TRUST FUND FINANCIAL ADVISOR

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

MEETING/INVESTMENT CLASSES
DINNER EXPENSE

12.b. Amount. $141.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.

Name of Person Filing ROY A. RINGWOOD | File Number U- 542-616

B. Held an interest in or derived income or economic benefit with monetary value **from a business** (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name: VICTORY CAPITAL MANAGEMENT

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 600

Street: 19200 VON KARMAN AVENUE

City: IRVINE

State: CA ZIP Code + 4: 92612

9. Business deals with:

- [ ] a. Labor Organization
- [x] b. Trust
- [ ] c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name: VICTORY CAPITAL MANAGEMENT

Trade Name, if any:

P.O. Box, Bldg., Room No., if any: SUITE 600

Street: 19200 VON KARMAN AVENUE

City: IRVINE

State: CA ZIP Code + 4: 92612

11.a. Nature of such dealing.

TRUST FUND FINANCIAL ADVISOR

11.b. Approximate dollar value of such dealing.

12.a. Nature of interest held or income received.

LUNCH MEETING AND DINNER

12.b. Amount. $97.00

C. **Received from any employer** (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name:

Trade Name, if any:

P.O. Box, Bldg., Room No., if any:

Street:

City:

State: ZIP Code + 4:

14.a. Nature of payment.

13.b. Is the Business an Employer [ ] or Consultant [ ] ?

14.b. Amount of payment.